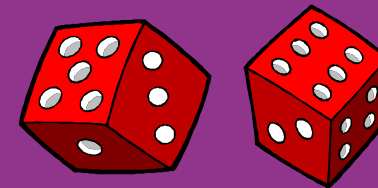


The Gambling Commission

Looking to 2007: Developments, Timeframes and Goals

Roger Parkes

Remote and Technical Advisor



GAMBLING
COMMISSION

The Gambling Commission

- Established 1 October 2005
- Replaces Gaming Board of Great Britain (GBGB)
- Defines gambling, gaming and betting



**GAMBLING
COMMISSION**

GAMBLING COMMISSION

The Gambling Commission regulates gambling in the public interest.

The Commission also provides independent advice to government on gambling in Britain.

GAMBLING
COMMISSION

Three Licensing Objectives

1. Prevent gambling being a source of, or associated with, crime or disorder
2. Ensure gambling is conducted in a fair and open way
3. Protect children and other vulnerable people

Gambling Commission Organisation

- Licensing
- Compliance
- Monitoring and enforcement
- Intelligence
- Policy
- Support functions



Victoria Square House, Birmingham

**GAMBLING
COMMISSION**

Licensing

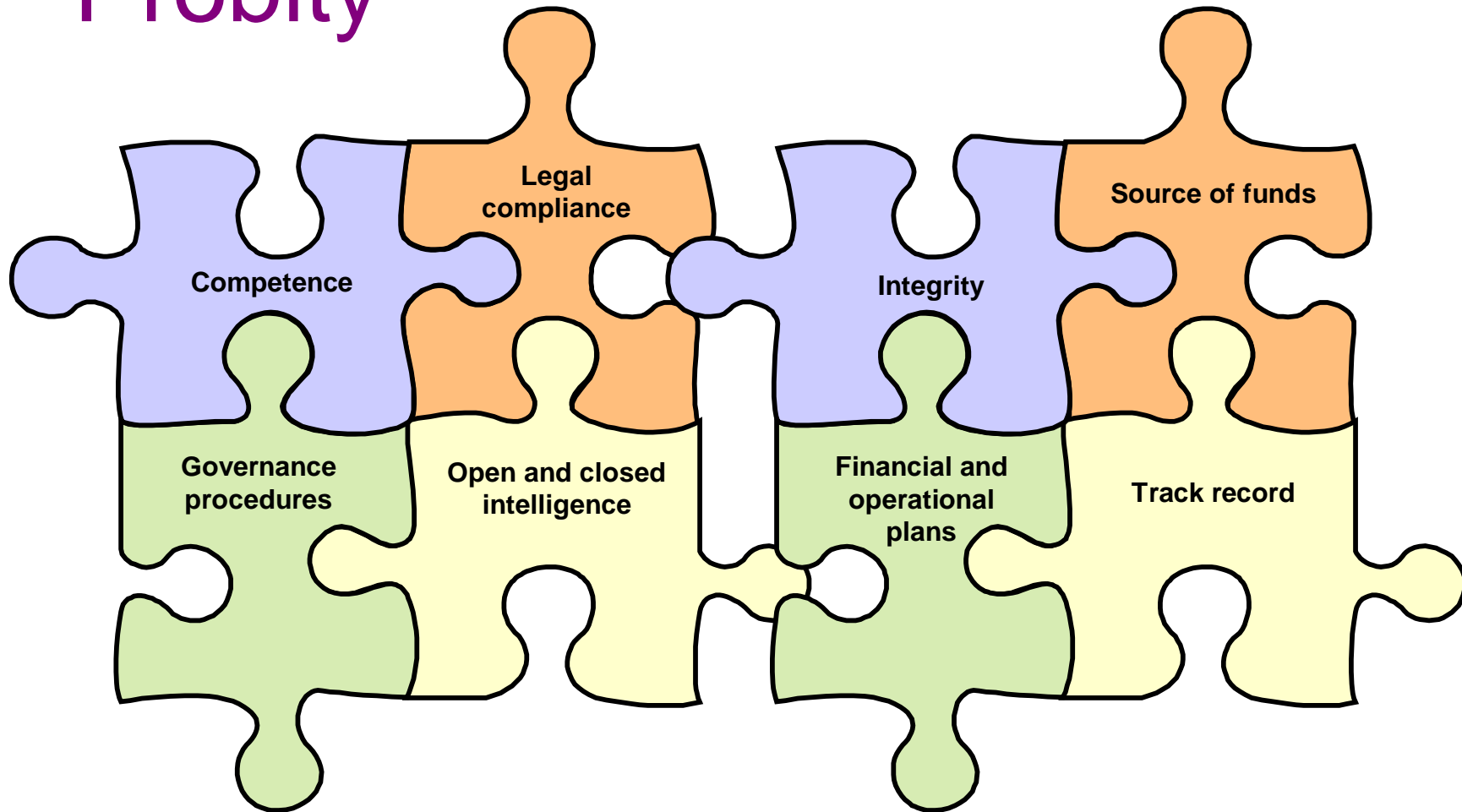
Operating licence type	Licence fees							
	Non-remote				Remote			
	A	B	C	D	E	F	G	H
Casino: 2003 Act	26353	34124	36329		26353	31294	34588	200
Casino: 1969 Act	6548	9882	19765		26353	31294	34588	200
Sings	1647	3294	16471	16710	1647	3294	16471	200
General betting	988	3294	16471	16710	988	3294	16471	200
Pool betting	659	1647	4941		659	1647	4941	200
Betting intermediary	200	200	200		9882	13176	16471	200
Gaming machine general: AGC	988	1647	4941					
Gaming machine general: FEC	988	1647	4941					
Gaming machine technical: manufacturer	988	1647	16471		988	1647	16471	200
Gaming machine technical: supplier	988	1647	4941		988	1647	4941	200
Gaming machine technical: software	988	4941	16471		988	4941	16471	200
Gambling software	988	4941	16471		9882	13176	16471	200
Lottery managers	988	1647	2306		988	1647	2306	200
Society lotteries	165	247	329		165	247	329	200

Operating licence type	Annual fees							
	Non-remote				Remote			
	A	B	C	D	E	F	G	H
Casino: 2003 Act	33290	44065	132171		12733	34176	66341	200
Casino: 1969 Act	12267	18837	221654		12733	34176	66341	200
Sings	1996	12645	25554	56784	12733	34176	66341	200
General betting	1327	11489	26330	151634	12733	34176	66341	200
Pool betting	1591	3062	3062		12733	34176	66341	200
Betting intermediary	200	200	200		12733	34176	66341	200
Gaming machine general: AGC	1210	4566	19896					
Gaming machine general: FEC	878	3150	10968					
Gaming machine technical: manufacturer	2221	4744	10531		12733	34176	66341	200
Gaming machine technical: supplier	1801	2482	3062		12733	34176	66341	200
Gaming machine technical: software	1151	2896	4641		12733	34176	66341	200
Gambling software	1151	2896	4641		12733	34176	66341	200
Lottery managers	1490	1600	1906		12733	34176	66341	200
Society lotteries	261	519	1044		4744	8739	12733	200

Personal Licences	Fees	
	Licence	Maintenance
Management Licence	330	330
Functional Licence	165	165

Note: these figures are indicative only and not necessarily as finally authorised.

Probity



Information required on application (1)

Existing operators

- Last set of trading/audited accounts
- 1 year's projected accounts – P&L balance sheet, cash flow (abbreviated information for small operators)
- Confirmation that there has been no material adverse change since the last balance sheet date
- Financial projections approved and adopted by the Board (or equivalent)

Information required on application (2)

New operators

- 1 year's projected accounts based on the operational plan



Personal Licences

- **PFLs** – credit check / CRB
- **Board Directors / partners / owners** – statement of assets and liabilities identifying single items over £100k .

Regulatory Returns

- Key financial and non-financial information that will assist the Commission to:
 - identify and monitor individual and industry risks
 - provide the Secretary of State with industry info and independent advice

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COMMISSION**

Financial Information

- Drop and Win (casinos)
- Gambling Income split between:
 - Machines
 - Table games or betting
- Gambling pay-out
- Contributions to RIGT, Gamcare etc



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Non-Financial Information

- No. of active customer accounts (remote).
- No. of gambling transactions
- No. of staff by category
- Social responsibility training provision and take-up
- No. of self-exclusions
- No. of gaming machines (divided by category)
- No. of suspicious activity reports

Gaming Machines

- Increased stakes and prize limits
- Technical standards due 19 December 2006



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Remote Gambling

- Technical standards
- Consultation period 1 December 2006 to 13 February 2007
- Due 25 April 2007

Test Houses



- Test houses
 - Approved by the GC
- Accreditation
 - By UKAS
 - To ISO 17025
- Probity
 - By Gambling Commission
 - Independence
 - Experience
 - Knowledge
 - Stability

Test Regime

- Source code review?
- Systems testing?
- Output testing?

Consultation Timetable

		Oct-06	Nov-06	Dec-06	Jan-07	Feb-07	Mar-07	Apr-07	May-07	Jun-07
A	Statement of Principles Part 1			20th						
B	SoP Part 2 - Final guidance published in two parts Licensing (L), Compliance and Enforcement (C&E)	10th (L)	22nd (C&E)							
C	Main Consultation Document		20th							
D(i)	Machine Technical Standards Part 1			19th						
D(ii)	Machine Technical Standards - Supplementary	27th		19th						
E	Remote Technical Standards			1st		13th		25th		
E1	Issue Paper - Remote (Security)									
E2	Issue Paper - Remote (Fair and Open)							25th		
E3	Issue Paper - Remote (Social Responsibility)							25th		
F	Local Authorities - Part 1									
G	Local Authorities - Part 2				26th					
H(i)	Local Authorities - Part 3 (Compliance and Enforcement)	18th			12th			3rd		
H(ii)	Local Authority Exchange of Information	31st		11th	30th					
H(iii)	CoP on Permits/Alcohol Licence	23rd		1st	23rd					
I	Guidance to Tracks		29th		11th		21st			
	Guidance to LA's		30th		12th		21st			
J	Prize Gaming in Bingo Clubs				22nd		5th		7th	
K	Financial Requirements		10th			26th				
L	Types and Rules of Casino Games		2nd		12th					
M	Technical Standards for Bingo and Casino Equipment					9th		5th		20th
	Technical Standards - Machines			19th						
	Technical Standards - Remote			1st		13th		25th		
N	Issue Paper - Prize Competitions & Lotteries	31st			15th					
	PUBLISH CODES AND CONDITIONS		20th							
	Consultation period									
	Publication Date									

Any questions?



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